

Proxy Voting Records Brandes Global Small Cap Equity Fund Third Quarter (July 01 to September 30) 2021

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Brandes Investment Partners®

Report Date Range: 01-Jul-21 to 30-Sep-21

C&C GROUP

Annual

ISIN IE00B010DT83 Meeting Date 01-Jul-21 Contested No

Ticker Agenda Type Mgmt

CountryIrelandRecord Date25-Jun-21

Ballot SecID G1826G107

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	F Vote	For/Against Mgmt
1	Accounts and Reports	Management	For	For	For
2A	Elect David Forde	Management	For	For	For
2B	Elect Patrick McMahon	Management	For	For	For
2C	Elect Vineet Bhalla	Management	For	For	For
2D	Elect Jill Caseberry	Management	For	For	For
2E	Elect Jim Clerkin	Management	For	For	For

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2F	Elect Vincent Crowley	Management	For	For	For
2G	Elect Emer Finnan	Management	For	For	For
2H	Elect Stewart Gilliland	Management	For	For	For
21	Elect Helen Pitcher	Management	For	For	For
2J	Elect Andrea Pozzi	Management	For	For	For
2K	Elect Jim Thompson	Management	For	For	For
3	Authority to Set Auditor's Fees	Management	For	For	For
4A	Remuneration Report (Advisory)	Management	For	For	For
4B	Remuneration Policy (Advisory - Non-UK Issuer)	Management	For	For	For
5	Authority to Issue Shares w/ Preemptive Rights	Management	For	For	For
6	Authority to Issue Shares w/o Preemptive Rights	Management	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Management	For	Against	Against
8	Authority to Repurchase Shares	Management	For	For	For

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Proxy Voting Summary

BCMFGS

Authority to Set Price Range for Reissuance of Treasury Management For 9 For For Shares Profit Sharing Scheme Management 10 For For For Amendment to the Long-Term Incentive Plan Management For 11 For For

MARKS & SPENCER GROUP

Annual

Report Date Range: 01-Jul-21 to 30-Sep-21

ISIN	GB0031274896	Meeting Date	06-Jul-21	Contested	No
Ticker				Agenda Type	Mgmt

CountryUnited KingdomRecord Date02-Jul-21

Ballot SecID G5824M107

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Accounts and Reports	Management	For	For	For
2	Remuneration Report (Advisory)	Management	For	For	For
3	Elect Archie Norman	Management	For	For	For

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4	Elect Steve Rowe	Management	For	For	For
5	Elect Eoin Tonge	Management	For	For	For
6	Elect Andrew Fisher	Management	For	For	For
7	Elect Andy Halford	Management	For	For	For
8	Elect Tamara Ingram	Management	For	For	For
9	Elect Justin King	Management	For	For	For
10	Elect Sapna Sood	Management	For	For	For
11	ELECT EVELYN BOURKE	Management	For	For	For
12	ELECT FIONA DAWSON	Management	For	For	For
13	Appointment of Auditor	Management	For	For	For
14	Authority to Set Auditor's Fees	Management	For	For	For

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Share Sub-division For For For 15 Management 16 Terms of Deferred Shares Management For For For 17 Authority to Issue Shares w/ Preemptive Rights Management For For For **Authorisation of Political Donations** For For 18 Management For 19 Authority to Issue Shares w/o Preemptive Rights Management For For For 20 Authority to Issue Shares w/o Preemptive Rights For Management Against Against (Specified Capital Investment) 21 Authority to Repurchase Shares Management For For For 22 For For For Authority to Repurchase Deferred Shares Management 23 Authority to Set General Meeting Notice Period at 14 Management For Against Against Days 24 Adoption of New Articles Management For For For

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SAINSBURY (J) PLC

Annual

ISIN GB00B019KW72 Meeting Date 09-Jul-21 Contested No

Ticker Agenda Type Mgmt

Country United Kingdom Record Date 07-Jul-21

Ballot SecID G77732173

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Accounts and Reports	Management	For	For	For
2	Remuneration Report (Advisory)	Management	For	Against	Against
3	Final Dividend	Management	For	For	For
4	Elect Adrian Hennah	Management	For	For	For
5	Elect Brian Cassin	Management	For	For	For
6	Elect Jo Harlow	Management	For	For	For
7	Elect Tanuj Kapilashrami	Management	For	For	For

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8	Elect Kevin O'Byrne	Management	For	For	For
9	Elect Dame Susan Rice	Management	For	For	For
10	Elect Simon Roberts	Management	For	For	For
11	Elect Martin Scicluna	Management	For	For	For
12	Elect Keith Weed	Management	For	For	For
13	Appointment of Auditor	Management	For	For	For
14	Authority to Set Auditor's Fees	Management	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Management	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Management	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Management	For	Against	Against
18	Authorisation of Political Donations	Management	For	For	For
19	Authority to Repurchase Shares	Management	For	For	For
20	Approval of the Savings-Related Share Option Scheme	Management	For	For	For
21	Authority to Set General Meeting Notice Period at 14 Days	Management	For	Against	Against

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Report Date Range: 01-Jul-21 to 30-Sep-21

EAGLE PHARMACEUTICALS

Annual

ISIN US2697951000 **Meeting Date** 13-Jul-21 **Contested** No

Ticker EGRX Agenda Type Mgmt

Country United States Record Date 14-May-21

Ballot SecID 269796

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1.1	Elect Michael Graves	Management	For	Withhold	Against
1.2	Elect Richard A. Edlin	Management	For	Withhold	Against
2.	Ratification of Auditor	Management	For	For	For
3.	Advisory Vote on Executive Compensation	Management	For	Against	Against

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Report Date Range: 01-Jul-21 to 30-Sep-21

WEIQIAO TEXTILE CO

Special

ISIN CNE1000004M7 Meeting Date 16-Jul-21 Contested No

Ticker Agenda Type Mgmt

CountryChinaRecord Date15-Jun-21

Ballot SecID Y95343102

Proposal					For/Against	
Number	Proposal	Proponent	Mgmt Rec	Vote	Mgmt	
1	Change of the registered address of the Company	Management	For	For	For	
2	Amendments to Articles of Association	Management	For	For	For	

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Proxy Voting Summary

BCMFGS

Report Date Range: 01-Jul-21 to 30-Sep-21

GR SARANTIS S.A.

Special

ISIN GRS204003008 Meeting Date 16-Jul-21 Contested No

Ticker Agenda Type Mgmt

Country Greece Record Date 09-Jul-21

Ballot SecID X7583P132

	Proposal				For/Against
- 1	Number	Proposal	Proponent	Mgmt Rec	Vote Mgmt
	1.	Approval of Suitability Policy	Management	For	For For

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Report Date Range: 01-Jul-21 to 30-Sep-21

PARK AEROSPACE CORP

Annual

ISIN US7004162092 Meeting Date 20-Jul-21 Contested No

Ticker PKE Agenda Type Mgmt

Country United States Record Date 04-Jun-21

Ballot SecID 70014A

Proposal Number	Proposal	Proponent	Mgmt Rec	Fo Vote	or/Against Mgmt
1A.	Elect Dale Blanchfield	Management	For	For	For
1B.	Elect Emily J. Groehl	Management	For	For	For
1C.	Elect Yvonne Julian	Management	For	For	For
1D.	Elect Brian E. Shore	Management	For	For	For
1E.	Elect Carl W. Smith	Management	For	For	For
1F.	Elect D. Bradley Thress	Management	For	For	For

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Proxy Voting Summary

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BCMFGS

1G.	Elect Steven T. Warshaw	Management	For	For	For
2.	Advisory Vote on Executive Compensation	Management	For	For	For
3.	Ratification of Auditor	Management	For	For	For

LSL PROPERTY SERVICES PLC

Ordinary

ISIN GB00B1G5HX72 Meeting Date 22-Jul-21 Contested No

Ticker Agenda Type Mgmt

CountryUnited KingdomRecord Date20-Jul-21

Ballot SecID G571AR102

Proposal				Fo	or/Against
Number	Proposal	Proponent	Mgmt Rec	Vote	Mgmt
1	Approve Uncapped Proceeds of Sale	Management	For	For	For

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CHINA YUCHAI INTERNATIONAL

Annual

ISIN BMG210821051 Meeting Date 23-Jul-21 Contested No

Ticker CYD Agenda Type Mgmt

Country Bermuda Record Date 07-Jun-21

Ballot SecID G21082

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	F Vote	For/Against Mgmt
4A.	Elect Stephen HO Kiam Kong	Management	For	Abstain	Against
4B.	Elect Li Hanyang	Management	For	Abstain	Against
1.	Accounts and Reports	Management	For	For	For
2.	Directors' Fees	Management	For	For	For
5.1	Elect Kwek Leng Peck	Management	For	Withhold	Against
5.2	Elect Gan Khai Choon	Management	For	Withhold	Against
5.3	Elect Hoh Weng Ming	Management	For	Withhold	Against

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5.4	Elect Neo Poh Kiat	Management	For	Withhold	Against
5.5	Elect Ho Raymond Chi-Keung	Management	For	For	For
5.6	Elect Xie Tao	Management	For	For	For
5.	Election of Wu Qiwei	Management	For	Against	Against
6.	Board Size	Management	For	Against	Against
7.	Appointment of Auditor and Authority to Set Fees	Management	For	For	For

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PREMIER FOODS Annual

ISIN GB00B7N0K053 Meeting Date 23-Jul-21 Contested No

Ticker Agenda Type Mgmt

Country United Kingdom Record Date 21-Jul-21

Ballot SecID G7S17N124

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Accounts and Reports	Management	For	For	For
2	Remuneration Report (Advisory)	Management	For	For	For
3	Final Dividend	Management	For	For	For
4	Elect Yuichiro Kogo	Management	For	For	For
5	Elect Colin Day	Management	For	For	For
6	Elect Alexander Richard Whitehouse	Management	For	For	For
7	Elect Duncan Neil Leggett	Management	For	For	For
8	Elect Richard P. Hodgson	Management	For	For	For

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9	Elect Simon Bentley	Management	For	For	For
10	Elect Tim Elliott	Management	For	For	For
11	Elect Helen Jones	Management	For	For	For
12	Elect Pamela Powell	Management	For	For	For
13	Elect Daniel Wosner	Management	For	For	For
14	Appointment of Auditor	Management	For	For	For
15	Authority to Set Auditor's Fees	Management	For	For	For
16	Authorisation of Political Donations	Management	For	For	For
17	Authority to Issue Shares w/ Preemptive Rights	Management	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Management	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Management	For	Against	Against
	(Opcomed duplies in received)				
20	Authority to Set General Meeting Notice Period at 14 Days	Management	For	Against	Against
21	Adoption of New Articles	Management	For	For	For

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MITIE GROUP PLC Annual

ISIN GB0004657408 **Meeting Date** 27-Jul-21 **Contested** No

Ticker Agenda Type Mgmt

CountryUnited KingdomRecord Date23-Jul-21

Ballot SecID G6164F157

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	Fo Vote	or/Against Mgmt
1	Accounts and Reports	Management	For	For	For
2	Remuneration Report (Advisory)	Management	For	For	For
3	Remuneration Policy (Binding)	Management	For	For	For
4	Elect Derek Mapp	Management	For	For	For
5	Elect Phil Bentley	Management	For	For	For
6	Elect Simon Kirkpatrick	Management	For	For	For
7	Elect Nivedita Krishnamurthy Bhagat	Management	For	For	For

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8	Elect Phillipa Couttie	Management	For	For	For
9	Elect Jennifer Duvalier	Management	For	For	For
10	Elect Mary Reilly	Management	For	For	For
11	Elect Roger Yates	Management	For	For	For
12	Appointment of Auditor	Management	For	For	For
13	Authority to Set Auditor's Fees	Management	For	For	For
14	Authorisation of Political Donations	Management	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Management	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Management	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Management	For	Against	Against
18	Authority to Repurchase Shares	Management	For	For	For
19	Enhanced Delivery Plan	Management	For	For	For
20	Approval of Long-Term Incentive Plan	Management	For	For	For
20	Approval of Long-Term incentive Flam	wanayement	1 01	FUI	FUI

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Proxy Voting Summary

BCMFGS

Approval of SAYE Scheme Management For For For 21 22 Approval of SIP Management For For For Management 23 Adoption of New Articles For For For 24 Authority to Set General Meeting Notice Period at 14 Management Against For Against

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GRAHAM CORP.

Annual

 ISIN
 US3845561063
 Meeting Date
 28-Jul-21
 Contested
 No

Ticker GHM Agenda Type Mgmt

CountryUnited StatesRecord Date02-Jun-21

Ballot SecID 384556

Proposal Number	Proposal	Proponent	Mgmt Rec	F- Vote	or/Against Mgmt
1.1	Elect Alan Fortier	Management	For	For	For
1.2	Elect James R. Lines	Management	For	For	For

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1.3	Elect Lisa M. Schnorr	Management	For	For	For
2.	Advisory Vote on Executive Compensation	Management	For	For	For
3.	Ratification of Auditor	Management	For	For	For

DE LA RUE PLC Annual

ISIN	GB00B3DGH821	Meeting Date	29-Jul-21	Contested	No
Ticker				Agenda Type	Mgmt

CountryUnited KingdomRecord Date27-Jul-21

Ballot SecID G2702K139

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Accounts and Reports	Management	For	For	For
2	Remuneration Report (Advisory)	Management	For	For	For
3	Elect Rt Hon Baroness Catherine Ashton	Management	For	For	For

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4	Elect Ruth Euling	Management	For	For	For
5	Elect Rob Harding	Management	For	For	For
6	Elect Margaret Rice-Jones	Management	For	For	For
7	Elect Nicholas Bray	Management	For	For	For
8	Elect Maria da Cunha	Management	For	For	For
9	Elect Kevin Loosemore	Management	For	For	For
10	Elect Clive Vacher	Management	For	For	For
11	Appointment of Auditor	Management	For	For	For
12	Authority to Set Auditor's Fees	Management	For	For	For
13	Authorisation of Political Donations	Management	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Management	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Management	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Management	For	For	For
17	Authority to Repurchase Shares	Management	For	For	For
18	Adoption of New Articles	Management	For	For	For

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19 Auth

Authority to Set General Meeting Notice Period at 14 Days

Management

For

Against

Against

NAMYANG DAIRY PRODUCTS

Special

ISIN KR7003920006

Meeting Date

30-Jul-21

Contested

Agenda Type

No

Mgmt

Ticker

Country Korea, Republic of

Record Date

18-Jun-21

Ballot SecID Y6201P101

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Amendments to Articles	Management	For	For	For
2.1	Elect YOON Yeo Eul	Management	For	Against	Against
2.2	Elect KIM Sung Joo	Management	For	Against	Against
2.3	Elect BAE Min Gyu	Management	For	Against	Against

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2.4	Elect LEE Dong Chun	Management	For	Against	Against
2.5	Elect LEE Myeong Cheol	Management	For	For	For
2.6	Elect LEE Hee Seong	Management	For	For	For
3	Election of Corporate Auditors	Management	For	For	For

AVADEL PHARMACEUTICALS PLC

Annual

ISIN	US05337M1045	Meeting Date	03-Aug-21	Contested	No
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Ticker AVDL Agenda Type Mgmt

Country Ireland **Record Date** 25-May-21

Ballot SecID 05337M

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	For/Against Vote Mgmt
O1A	Elect Gregory J. Divis	Management	For	Against Against

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O1B	Elect Eric J. Ende	Management	For	For	For
O1C	Elect Geoffrey M. Glass	Management	For	For	For
O1D	Elect Mark A. McCamish	Management	For	For	For
O1E	Elect Linda S. Palczuk	Management	For	For	For
O1F	Elect Peter Thornton	Management	For	For	For
O2	Appointment of Auditor and Authority to Set Fees	Management	For	For	For
О3	Authority to Issue Shares w/ Preemptive Rights	Management	For	Against	Against
O4	Right to Adjourn Meeting	Management	For	For	For
S5	Authority to Issue Shares w/o Preemptive Rights	Management	For	Against	Against

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DICKSON CONCEPTS (INTERNATIONAL) LTD.

Annual

ISIN BMG275871231 Meeting Date 09-Aug-21 Contested No

Ticker Agenda Type Mgmt

CountryBermudaRecord Date03-Aug-21

Ballot SecID G27587123

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt	
1	Accounts and Reports	Management	For	For	For	
2	Allocation of Final Dividend	Management	For	For	For	
3.A.I	Elect Dickson Poon	Management	For	Against	Against	
3.AII	Elect Bhanusak Asvaintra	Management	For	For	For	
3AIII	Elect Nicholas P. Etches	Management	For	For	For	
3.B	Directors' Fees	Management	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Management	For	For	For	
5	Authority to Issue Shares w/o Preemptive Rights	Management	For	Against	Against	
6	Authority to Repurchase Shares	Management	For	For	For	
7	Authority to Issue Repurchased Shares	Management	For	Against	Against	

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Special

EMBRAER S.A.

ISIN BREMBRACNPR1 Meeting Date 16-Aug-21 Contested No

Ticker ERJ Agenda Type Mgmt

CountryBrazilRecord Date19-Jul-21

Ballot SecID 29082A

Proposal Number	Proposal	Proponent	Mgmt Rec	Fo Vote	or/Against Mgmt
1.	Amendments to Articles	Management	For	For	For
2.	Elect Todd M. Freeman	Management	For	For	For
3.	Elect Kevin G. McAllister	Management	For	For	For

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BREMBRACNOR4

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Special

EMBRAER S.A.

Meeting Date 16-Aug-21 Contested No

Ticker Agenda Type Mgmt

Country Brazil Record Date 12-Aug-21

Ballot SecID P3700H201

ISIN

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote F	or/Against Mgmt
1	Amendments to Articles	Management	For	For	For
2	Elect Todd M. Freeman	Management	For	For	For
3	Elect Kevin G. McAllister	Management	For	For	For

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Proxy Voting Summary

BCMFGS

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MORRISON (W	И.) SUPERMARKETS I	PLC
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Court

ISIN GB0006043169 **Meeting Date** 27-Aug-21

Contested No

Mgmt

Agenda Type

Agenda Type

Mgmt

Ticker

Country

United Kingdom Record Date 25-Aug-21

Ballot SecID G62748119

Proposal				For/Against
Number	Proposal	Proponent	Mgmt Rec	Vote Mgmt
1	Approve Scheme of Arrangement	Management	For	N/A

MORRISON (WM.) SUPERMARKETS PLC

Ordinary

ISIN GB0006043169 Meeting Date 27-Aug-21 Contested	No
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Record Date 25-Aug-21

Ballot SecID G62748119

United Kingdom

Ticker

Country

Proposal				For/Against
Number	Proposal	Proponent	Mgmt Rec	Vote Mgmt
1	Effect Scheme of Arrangement	Management	For	N/A

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Annual

YELLOW CAKE PLC

ISIN JE00BF50RG45 Meeting Date 08-Sep-21 Contested No

Ticker Agenda Type Mgmt

Country Jersey Record Date 06-Sep-21

Ballot SecID G98334108

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Accounts and Reports	Management	For	For	For
2	Elect Anthony Tudor St. John	Management	For	For	For
3	Elect Andre Liebenberg	Management	For	For	For
4	Elect Carole Whittall	Management	For	For	For

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5	5	Elect Sofia Bianchi	Management	For	For	For
6	6	Elect Alexander Downer	Management	For	For	For
7	7	Elect Alan Rule	Management	For	For	For
8	3	Elect Emily Manning	Management	For	For	For
9)	Appointment of Auditor	Management	For	For	For
10	0	Authority to Set Auditor's Fees	Management	For	For	For
1	1	Authority to Issue Shares w/ Preemptive Rights	Management	For	For	For
12	2	Authority to Issue Shares w/o Preemptive Rights	Management	For	For	For
13	3	Use of Capital Raising Proceeds	Management	For	For	For
14	4	Authority to Repurchase Shares	Management	For	For	For

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NAMYANG DAIRY PRODUCTS

Special

ISIN KR7003920006 Meeting Date 14-Sep-21 Contested No

Ticker Agenda Type Mgmt

CountryKorea, Republic ofRecord Date18-Jun-21

Ballot SecID Y6201P101

Meeting Note

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Amendments to Articles	Management	For	For	For
2.1	Elect YOON Yeo Eul	Management	For	Against	Against
2.2	Elect KIM Sung Joo	Management	For	For	For
2.3	Elect BAE Min Gyu	Management	For	For	For
2.4	Elect LEE Dong Chun	Management	For	For	For
2.5	Elect LEE Myeong Cheol	Management	For	For	For
2.6	Elect LEE Hee Seong	Management	For	For	For
3	Election of Corporate Auditors	Management	For	For	For

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Report Date Range: 01-Jul-21 to 30-Sep-21

ZEE ENTERTAINMENT ENTERPRISES LTD

Annual

ISIN INE256A01028 Meeting Date 14-Sep-21 Contested No

Ticker Agenda Type Mgmt

CountryIndiaRecord Date07-Sep-21

Ballot SecID Y98893152

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt	
1	Accounts and Reports	Management	For	For	For	
2	Confirmation of Dividend on Preference Shares	Management	For	For	For	
3	Allocation of Dividend on Ordinary Shares	Management	For	For	For	
4	Elect Ashok M. Kurien	Management	For	For	For	
5	Approve Payment of Fees to Cost Auditors	Management	For	For	For	
6	Elect Sasha G. Mirchandani	Management	For	For	For	

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7	Elect Vivek Mehra	Management	For	For	For
8	Elect Manish Chokhani	Management	For	For	For

GENTING BHD Annual

ISIN MYL3182OO002 Meeting Date 23-Sep-21 Contested No Agenda Type Mgmt

CountryMalaysiaRecord Date15-Sep-21

Ballot SecID Y26926116

Proposal Number	Proposal	Proponent	Mgmt Rec	Vote	For/Against Mgmt
1	Directors' Fees for FY 2020	Management	For	For	For
2	Directors' Benefits for 2021-2022	Management	For	For	For
3	Elect LIM Kok Thay	Management	For	Against	Against
4	Elect LIM Keong Hui	Management	For	For	For

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5	Elect Koid Swee Lian	Management	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Management	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Management	For	For	For
8	Authority to Repurchase and Reissue Shares	Management	For	For	For
9	Related Party Transactions	Management	For	For	For

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